



PATENT
ATTORNEY DOCKET NO.: 046700-5052

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Virind S. Gujral et al.)	
)	
Application No.: 09/955,751)	Group Art Unit: 2164
)	
Filed: September 19, 2001)	Examiner:
)	
For: SYSTEM AND METHOD FOR)	
CONDUCTING ELECTRONIC)	
AUCTIONS WITH MULTI-PARAMETER)	
OPTIMAL BIDDING)	

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

1. This replies to the Notice to File Missing Parts of Nonprovisional Application mailed October 19, 2001.

A copy of the Notice to File Missing Parts of Nonprovisional Application is enclosed.

2. Declaration Or Oath

- ☒ No declaration or oath was filed. Enclosed is the original Declaration.
- ☐ The specification attached to the declaration is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.
- ☐ The declaration or oath which was filed was determined to be defective. A new original Combined Declaration and Power of Attorney is attached.

PATENT
ATTORNEY DOCKET NO.: 046700-5052

3. English Translation of Non-English Language Papers

- ☐ Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.

Also enclosed is the Verification of Translation Document

4. Small Entity Status

- ☐ Small entity status under 37 C.F.R. § 1.27 is asserted for the present application.

5. Fee Calculation

			Basic Fee:	740.00
			Patent Application-\$740.00	
			Design Application-\$330.00	
	Number Filed	Number Extra	at a Rate of	
Total Claims	31 - 20 =	11	\$ 18.00 each=	198.00
Independent Claims	5 - 3 =	2	\$ 84.00 each=	168.00
Multiple dependent claim(s), if any			\$280.00	
Missing Parts Surcharge Fee			\$130.00	130.00
SUB-TOTAL =				\$1,236.00
Reduction by 1/2 for filing by a small entity				
SUB-TOTAL =				\$1,236.00
Fee For Application Filed With A Non-English Specification (37 C.F.R. § 1.17(k) and § 1.52(d)			\$130.00	
Fee For Processing and retention of application (37 C.F.R. § 1.21(1) and § 1.53(d)			\$130.00	
TOTAL FILING FEE =				\$1,236.00

6. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136(a) apply.

- ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. §§ 1.17(a)-(d), for the total number of months checked below:

Total months requested	Fee for extension	[fee for Small Entity]
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 400.00	\$200.00
<input type="checkbox"/> three months	\$ 920.00	\$460.00
<input type="checkbox"/> four months	\$1,440.00	\$720.00
<input type="checkbox"/> five months	\$1,960.00	\$980.00

Extension of time fee due with this request: \$_____.

If an additional extension of time is required, please consider this a Petition therefor.

- ☐ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

7. Fee Payment

The total fee due is:

Completion Fees	\$ 130.00
Filing Fees.....	\$ 740.00
Extra Claims.....	\$ 198.00
Extra Independent Claims.....	\$ 168.00
Assignment Recordation Fee.....	\$ 40.00

Total Fee Due \$1,276.00

- ☒ Enclosed are two checks in the amount of \$1,236.00 & \$40.00 representing the total fee due and the assignment recordation fee.

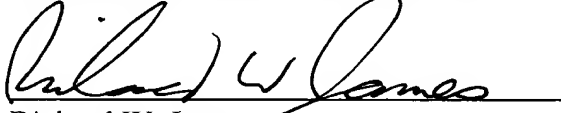
- ☒ **Except** for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

8. Additional papers enclosed.

- ☐ Substitute Drawings in compliance with 37 CFR 1.84 (____ Sheets)
- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☐ Form PTO-1449
- ☐ Citations
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP



Richard W. James
Registration No. 43,690
Attorney for Applicants

Date: December 19, 2001

MORGAN, LEWIS & BOCKIUS LLP
1701 Market Street
Philadelphia, Pennsylvania 19103-2921
Phone No.: 215.963.5055
Facsimile No.: 215.963.5299



12-26-01

Sector

\$

Docket No. 046700-5052

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2164
In re application of: Virind S. Gujral et al.
Serial No.: 09/955,751 ✓
Filed: September 19, 2001
For: "SYSTEM AND METHOD FOR CONDUCTING
ELECTRONIC AUCTIONS WITH MULTI-PARAMETER
OPTIMAL BIDDING"

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number ET675981970US
Date of Deposit December 19, 2001

I hereby state the following papers are attached hereto:

Response to Notice to File Missing Parts (4 pages), Copy of Notice to File Missing Parts of Nonprovisional Application (1 page), Declaration for Patent Application (6 pages), Recordation Form Cover Sheet and Assignment (6 pages), Check in the amount of \$1,236.00 for surcharge fee, extra claims and filing fee, and Check in the amount of \$40.00 for recordation fee

which are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

Dawna E. Mozes

Typed or printed name of person mailing paper

Dawna E. Mozes

Signature of person mailing paper

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/955,751	09/19/2001	Virind S. Gujral	046700-5052

CONFIRMATION NO. 9479

FORMALITIES LETTER



OC000000006939013

Richard W. James
MORGAN, LEWIS & BOCKIUS LLP
1701 Market Street
Philadelphia, PA 19103-2921

Date Mailed: 10/19/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$358.
 - \$198 for 11 total claims over 20.
 - \$160 for 2 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1198.

12/28/2001 HMOHAM1 00000044 09955751

01 FC:101	740.00 OP
02 FC:103	198.00 OP
03 FC:102	168.00 OP
04 FC:105	130.00 OP

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE